

12-20-01

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12/18/01

1057 U.S. PTO

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(only for new nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No.	2001U011.US
Inventors	MURRAY, Rex E.
Title	HETEROCYCLIC-AMIDE CATALYST COMPOSITIONS FOR THE POLYMERIZATION OF OLEFINS
Express Mail Label No.	EV052786256US

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**APPLICATION ELEMENTS**

ADDRESS TO: Commissioner for Patents  
Box Patent Application  
P.O. BOX 2327  
Arlington, VA 22202

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification Total Pages - 55 -  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross References to Related Applications (if any)
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets - 1 -
4. ☒ Oath or Declaration Total Pages - 2 -  
unsigned
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/division with Box 15 completed)  
[Note Box 5 below]
  - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☐ Incorporation By Reference (useable if Box 4B is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)

**ACCOMPANYING APPLICATION PARTS**

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449  
☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
14. ☐ Other: \_\_\_\_\_

15. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment.

- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior applications No: \_\_\_\_\_, from which priority is claimed under 35 U.S.C. § 120

Prior application information: Examiner \_\_\_\_\_ Group Art Unit \_\_\_\_\_

16. If a NONPROVISIONAL APPLICATION based upon a foreign or U.S. provisional application, priority is claimed from applications

No. \_\_\_\_\_, country \_\_\_\_\_, filed on \_\_\_\_\_.

**17. CORRESPONDENCE ADDRESS**

☒ Customer Number or Bar Code Label



or ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	Univation Technologies, LLC				
Address	5555 San Felipe, Suite 1950				
City	Houston	State	Texas	Zip Code	77056
Country	U.S.A.	Telephone	(713) 892-3729	Fax	(713) 892-3687
Name (print/type)	Lisa Kimes Jones			Registration No. (Attorney/Agent)	41,878
Signature				Date	December 18, 2001

# FEE TRANSMITTAL

Note: Effective November 10, 1998.  
Patent fees are subject to annual revision.

Complete if Known

Application Number	New Application
Filing Date	December 18, 2001
Inventors	Murray, Rex E.
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	2001U011.US

JCS32 U.S. PTO  
10/023256  
12/18/01

Total Amount of Payment (\$)**848.00**

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:

Deposit Account Number: **50-0589**

Deposit Account Name: **Univation Technologies, LLC**

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

## FEE CALCULATION

### 1. FILING FEE

Large Entity Fee Code	Fee Description	Fee \$	Fee Paid
101 740	Utility filing fee		740.00
106 330	Design filing fee		
107 510	Plant filing fee		
108 740	Reissue filing fee		
114 160	Provisional filing fee		
SUBTOTAL (1)			740.00

### 2. CLAIMS

	Extra	Fee from below	Fee Paid
Total Claims	26 - 20 = 6	x 18 =	108.00
Independent Claims	2 - 3 = 0	x 84 =	.00
Multiple Dependent Claims	0	x 280.00 =	.00

### Large Entity

Fee Code	Fee\$	Fee Description
103 18		Claims in excess of 20
102 84		Independent claims in excess of 3
104 280		Multiple dependent claim
109 84		Reissue independent claims over original patent
110 18		Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		108.00

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Fee Code	Large Entity Fee \$	Fee Description
105 130		Surcharge - late filing fee or oath
127 50		Surcharge - late provisional filing or cover sheet.
139 130		Non-English specification
147 2,520		For filing a request for reexamination
112 920*		Requesting publication of SIR prior to Examiner action
113 1,840*		Requesting publication of SIR after Examiner action
115 110		Extension for reply within first month
116 400		Extension for reply within second month
117 920		Extension for reply within third month
118 1,440		Extension for reply within fourth month
128 1,960		Extension for reply within fifth month
119 310		Notice of Appeal
120 320		Filing a brief in support of an appeal
121 280		Request for oral hearing
138 1,510		Petition to institute a public use proceeding
140 110		Petition to revive - unavoidable
141 1,280		Petition to revive - unintentional
142 1,280		Utility issue fee (or reissue)
143 460		Design issue fee
144 620		Plant issue fee
122 130		Petition to the Commissioner
123 50		Petitions related to provisional applications
126 180		Submission of information Disclosure Stmt.
581 40		Recording each patent assignment per property (times number of properties)
148 110		Statutory disclaimer

Other fee (specify)  
\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

FEES PAID

.00

Typed or Printed Name **Lisa Kimes Jones**

Reg. No. **41,878**

Signature

Date

**December 18, 2001**

Deposit Account User ID